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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arnold PLONSKI
Serial No.: 10/747,754
Filed: December 29, 2003
For: **OPTION PREMIUM ENHANCED TOTAL RETURNS FROM A
PREDETERMINED INDEX OR ETF TYPE PORTFOLIO**

Day Pitney LLP
7 Times Square
New York, New York 10036-7311
212-297-5800

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully request that the reference listed on the attached PTO-1449 Form be considered and made of record in the above-identified application.

Respectfully submitted,

Ronald E. Brown
Registration No. 32,200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 6, 2007

Ronald E. Brown

Attorney

Signature

February 6, 2007

Date of Signature

